

03-30-01

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PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	3935.9-1
First Inventor	Phillip W. Lucas
Title	System and Method of Communicating Via...
Express Mail Label No.	EL 762458965 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Postcard

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner: _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or ☐ Correspondence address below

Name

23559

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Wei Wei Jeang

Registration No. (Attorney/Agent)

33,305

Signature

Date March 29, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 454.00

Complete if Known

Application Number	TBD
Filing Date	March 29, 2001
First Named Inventor	Phillip W. Lucas
Examiner Name	TBD
Group Art Unit	TBD
Attorney Docket No.	3935.9-1

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 13-4900
Deposit Account Name: Munsch Hardt Kopf & Harr, P.C.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	710	201 355 Utility filing fee	355.00
		106	320	206 160 Design filing fee	
		107	490	207 245 Plant filing fee	
		108	710	208 355 Reissue filing fee	
		114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$ 355.00

2. EXTRA CLAIM FEES

Total Claims: 31
Independent Claims: 3
Multiple Dependent: 0

Extra Claims: 20** = 11
Fee from below: 9.00
Fee Paid: 99.00

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		103	18	203 9 Claims in excess of 20
		102	80	202 40 Independent claims in excess of 3
		104	270	204 135 Multiple dependent claim, if not paid
		109	80	209 40 ** Reissue independent claims over original patent
		110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 99.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205 65 Surcharge - late filing fee or oath	0.00
		127	50	227 25 Surcharge - late provisional filing fee or cover sheet	0.00
		139	130	139 130 Non-English specification	0.00
		147	2,520	147 2,520 For filing a request for ex parte reexamination	0.00
		112	920*	112 920* Requesting publication of SIR prior to Examiner action	0.00
		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	0.00
		115	110	215 55 Extension for reply within first month	0.00
		116	390	216 195 Extension for reply within second month	0.00
		117	890	217 445 Extension for reply within third month	0.00
		118	1,390	218 695 Extension for reply within fourth month	0.00
		128	1,890	228 945 Extension for reply within fifth month	0.00
		119	310	219 155 Notice of Appeal	0.00
		120	310	220 155 Filing a brief in support of an appeal	0.00
		121	270	221 135 Request for oral hearing	0.00
		138	1,510	138 1,510 Petition to institute a public use proceeding	0.00
		140	110	240 55 Petition to revive - unavoidable	0.00
		141	1,240	241 620 Petition to revive - unintentional	0.00
		142	1,240	242 620 Utility issue fee (or reissue)	0.00
		143	440	243 220 Design issue fee	0.00
		144	600	244 300 Plant issue fee	0.00
		122	130	122 130 Petitions to the Commissioner	0.00
		123	50	123 50 Processing fee under 37 CFR 1.17(q)	0.00
		126	180	126 180 Submission of Information Disclosure Stmt	0.00
		581	40	581 40 Recording each patent assignment per property (times number of properties)	0.00
		146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
		149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	0.00
		179	710	279 355 Request for Continued Examination (RCE)	0.00
		169	900	169 900 Request for expedited examination of a design application	0.00

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

SUBMITTED BY

Name (Print/Type)	Wei Wei Jeang	Registration No. (Attorney/Agent)	33,305	Telephone	214-855-7510
Signature		Date	29 Mar 01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Phillip W. Lucas

Application No.: TBD
Filed: 03/29/2001

Group No.: TBD
Examiner: TBD

For: SYSTEM AND METHOD OF COMMUNICATING VIA AN
IN-BAND TONE MESSAGING PROTOCOL

BOX PATENT APPLICATION

Director of the United States
Patent and Trademark Office
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 762458965 US
Date of Deposit 03/29/2001

I hereby state that the following *attached* paper or fee

Assignment Recordation Cover
Assignment
Utility Application Transmittal
Application Data Sheet
Fee Transmittal
Declaration and Power of Attorney (3 pages)
Specification (19 pages)
Drawings (2 pages)
Check No. 17971 for \$454.00
Check No. 17972 for \$40.00
Information Disclosure Statement
PTO Form 1449 and Cited References
Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to BOX PATENT APPLICATION, Director of the United States Patent and Trademark Office, Washington, D.C. 20231.

Vikki R. Colvin



Signature of person mailing paper or fee